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OFGS File No.: P/3483-13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Hongyang Chao, et al.

Date: February 6, 2001

Serial No.: 09/727,242

Group Art Unit: --

Filed: November 30, 2000

Examiner: --

For: IMAGE COMPRESSION AND DECOMPRESSION BASED ON AN INTEGER  
WAVELET TRANSFORM USING A LIFTING SCHEME AND A  
CORRECTION METHOD

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Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231  
Attention: Application Branch

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NON-PROVISIONAL APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, mailed January 16, 2001, the Inventors' Declaration (copy enclosed) was previously submitted by the attorneys in the parent case (Ser. No. 09/038,562). The required fee was also paid on March 11, 1998 as evidenced by the attached copy of the RESPONSE TO NOTICE TO FILE MISSING PARTS.

Applicants therefore believe that, since the above-identified application is a divisional of the aforesaid parent application, there is no need to file an additional declaration, nor pay an additional fee in this case.

Concerning the statement that page 48 of the specification appears to be omitted, enclosed herewith is a copy of said page 48 including a petition, with supporting evidence and a petition fee in the amount of \$130.00. Page 48 of the specification was submitted by Applicants at the time of the filing as indicated by the evidence provided in support of the attached petition.

Accordingly, Applicants request that page 48 be included in the application as filed, to receive benefit of the application filing date, and that the petition fee in the amount of \$130.00 be refunded to Applicants.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on February 6, 2001:

Brendan J. Kennedy  
Name of applicant, assignee or  
Registered Representative

Brendan J. Kennedy  
Signature

February 6, 2001  
Date of Signature

Respectfully submitted,

Brendan J. Kennedy  
Brendan J. Kennedy  
Registration No.: 41,890  
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BJK:gl



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/727,242	11/30/2000	Hongyang Chao	P/3483-13

CONFIRMATION NO. 4365

000002352  
OSTROLENK FABER GERB & SOFFEN  
1180 AVENUE OF THE AMERICAS  
NEW YORK, NY 100368403

## FORMALITIES LETTER



\*OC000000005681485\*

Date Mailed: 01/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

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- The balance due by applicant is \$ 65.

The following item(s) appear to have been omitted from the application:

- Page(s) 48 of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of

the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE